

# **Harnessing the power of graph structures to understand changing user preferences over time and enhance item recommendations**

## **Date**

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## **Organization**

Visual Display Intelligence Lab | AI team

## Agenda:

- Introduction
- Background
  - Types of Recommender Systems
- Why graphs for Recommender systems?
- Session based Recommender Systems
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- Session aware Recommender Systems
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- Our methodology
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## Introduction:



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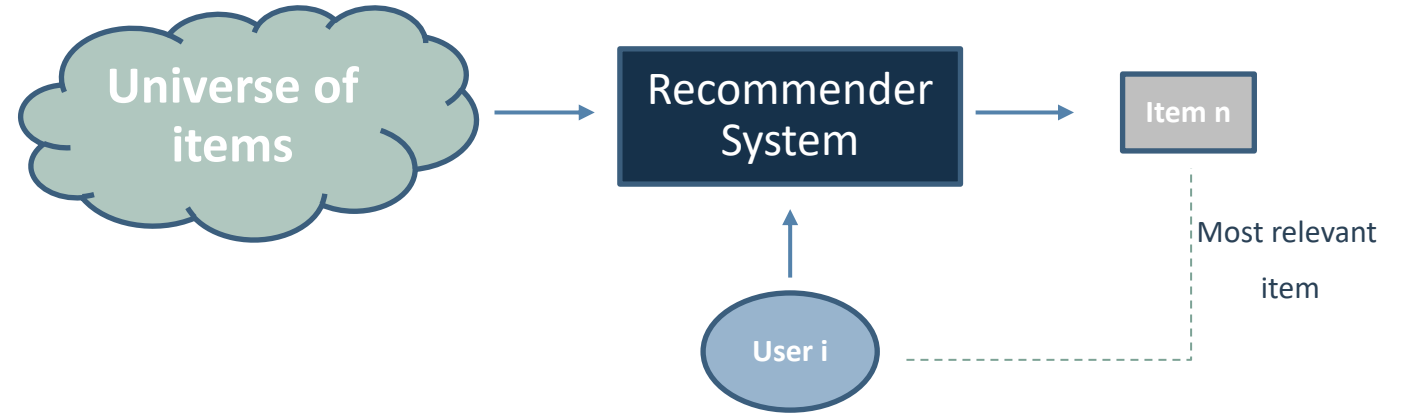


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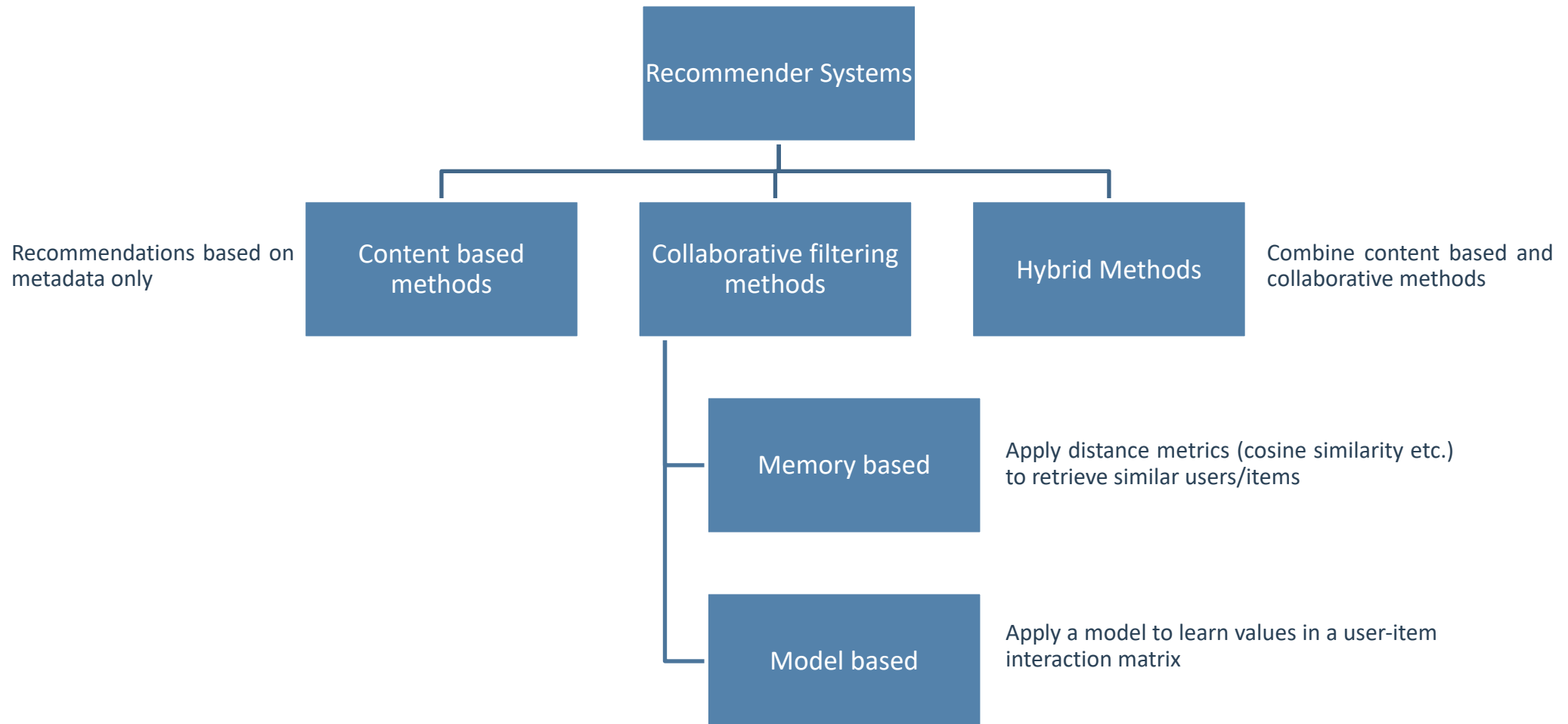
## Background:

### Why use a recommender system?

- Filter information to most relevant
- Avoids information overload
- Enhanced user experience
  - Customer retention
  - Encourages exploration
- Boost revenue



## Types of Recommender Systems:



## Content based Filtering

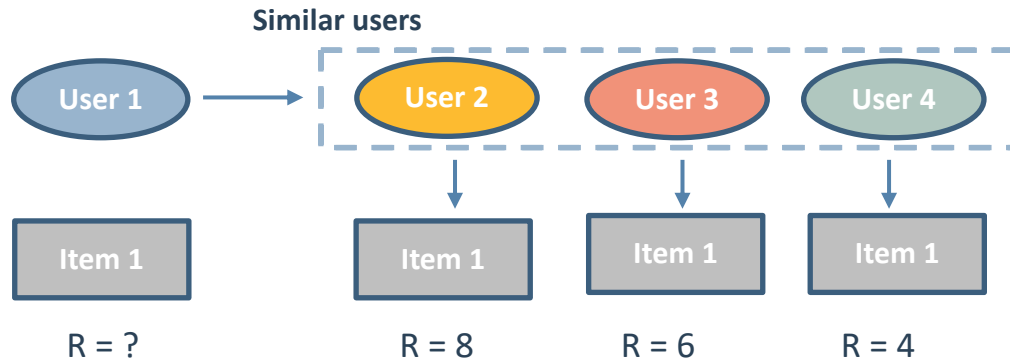
- Recommends items that are similar to the items the user has already liked in the past
- Similarity of items based on discrete features,
  - Item descriptions
  - Genre
- **Pros:**
  - Needs less information about the users
  - Relies solely on item information
- **Cons:**
  - Recommended items are too similar to past items
  - Less exploration of the item catalogue
  - Item features not always easily accessible

## Collaborative filtering

- Recommends items based on the tastes of similar users
- Compares user activity based on:
  - Explicit feedback (ratings, like/dislike etc.)
  - Implicit feedback (purchased item, item view count etc.)
- **Pros:**
  - Needs less explicit information about items
  - More variety in the recommendations
- **Cons:**
  - Cold Start problem
  - Data Sparsity

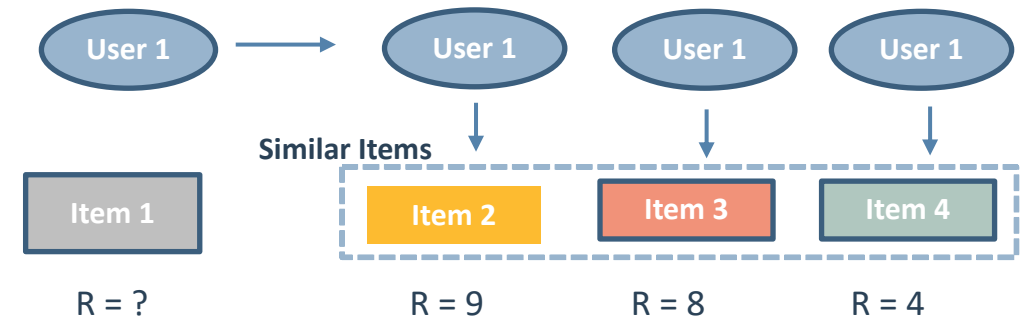
# Memory based Collaborative filtering:

## User based:



$$R(\text{User 1, Item 1}) = \frac{8+6+4}{3} = 6$$

## Item based:

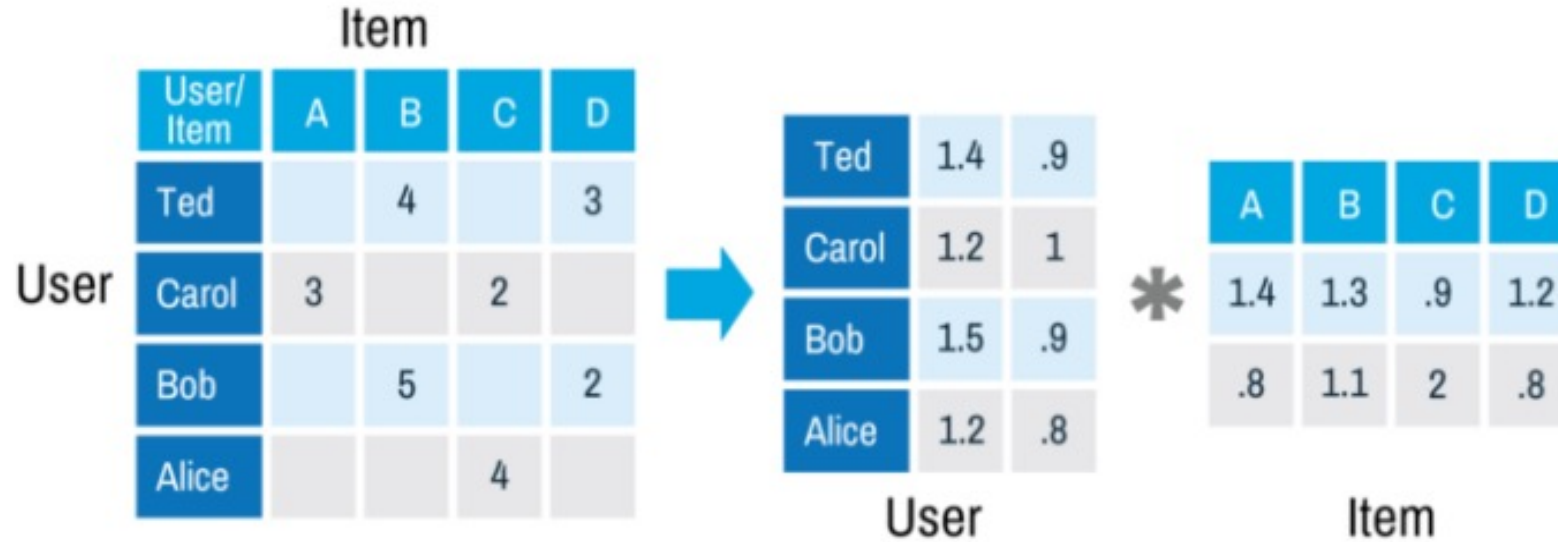


$$R(\text{User 1, Item 1}) = \frac{9+8+4}{3} = 7$$

## Drawbacks:

- Missing context, since only user-item interactions considered
- Evolving tastes of the users are not captured effectively
- Not scalable since finding similar users/items is a costly operation
- Cold start problem

# Model based Collaborative filtering: Matrix Factorization



## Drawbacks:

- Missing context
- No Temporal information
- Matrix sparsity
- Cold start problem

$$R_{n \times m} = P_{n \times f} Q_{f \times m}$$

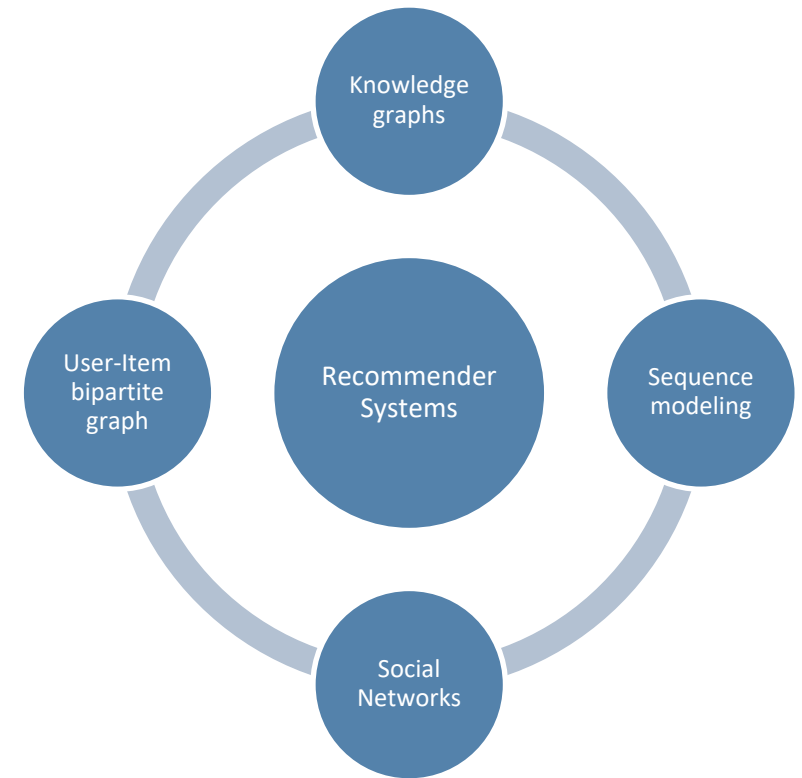
- R is the matrix of ratings
  - n users, m items
- P is a user-factor matrix
- Q is a factor-item matrix

Here, the assumption is that we can factorize the item-user matrix into two separate matrices, one for items and another for users. The model identifies the missing values in the matrix using methods like Gradient descent, SGD etc.



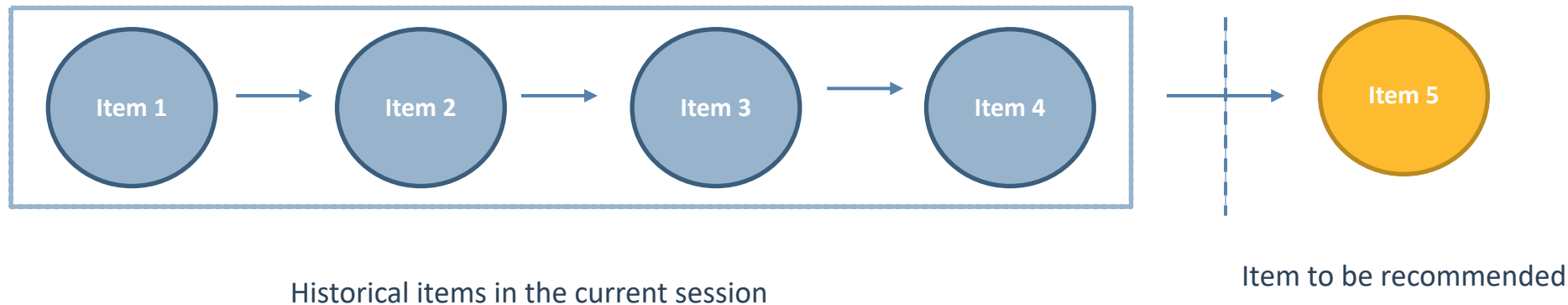
# Why graphs for Recommender systems?

- Trivial methods only take user-item interactions
- Abundance of data in recommendation systems
- Graphs can implicitly learn collaborative signals in the data
- Powerful tool to capture multi hop relationships between entities
- Graph structure flexibility :
  - Direction of the edges, could be directional or non-directional
  - We can define edge weights considering multiple factors, if needed
  - The nodes can have their own subgraphs with separate attributes



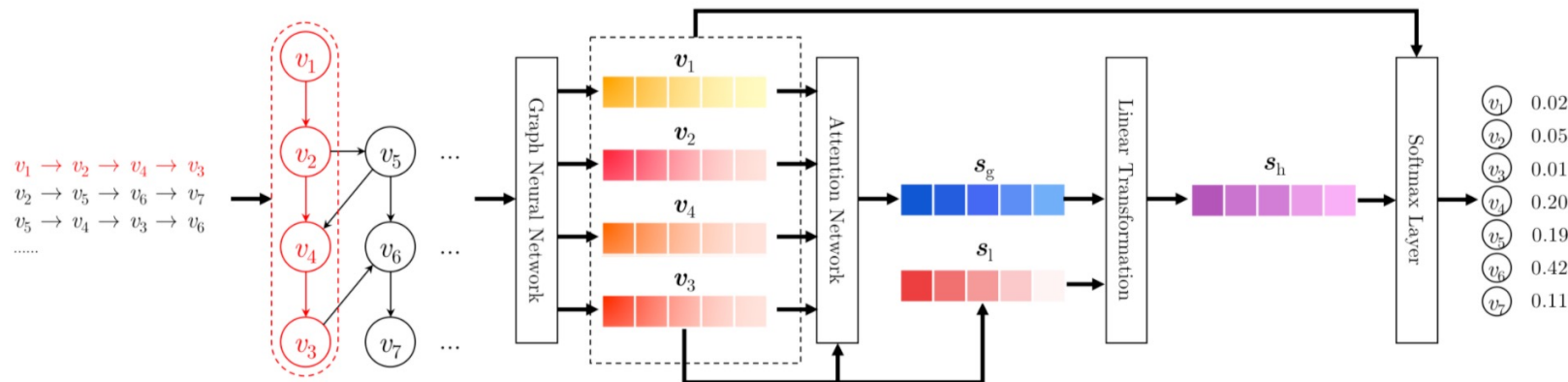
## Session based recommender systems:

- Only look at the current session interactions and recommend the next item in the current session
- Especially useful when user identity is anonymized
  - For example. a user who is just casually browsing items on a website without logging in
- Only try to model the user's current intent without considering long-term user interest
- Extract item embeddings using the temporal information of user interactions
- From the extracted item embeddings, we learn a more accurate representation of the sessions



## The SR-GNN approach [2]:

- Represent session data as graphs
- Use item embeddings to represent the sessions
- Use a Graph neural network to extract the item (node) embeddings with temporal information
- Derive session embeddings from the learned item embeddings
- Concatenate the last item's embedding (local embedding) with global session embeddings (global embedding)
- Apply a softmax to get probability for each item using the hybrid embedding



[2] Session-based Recommendation with Graph Neural Networks: <https://arxiv.org/abs/1811.00855>

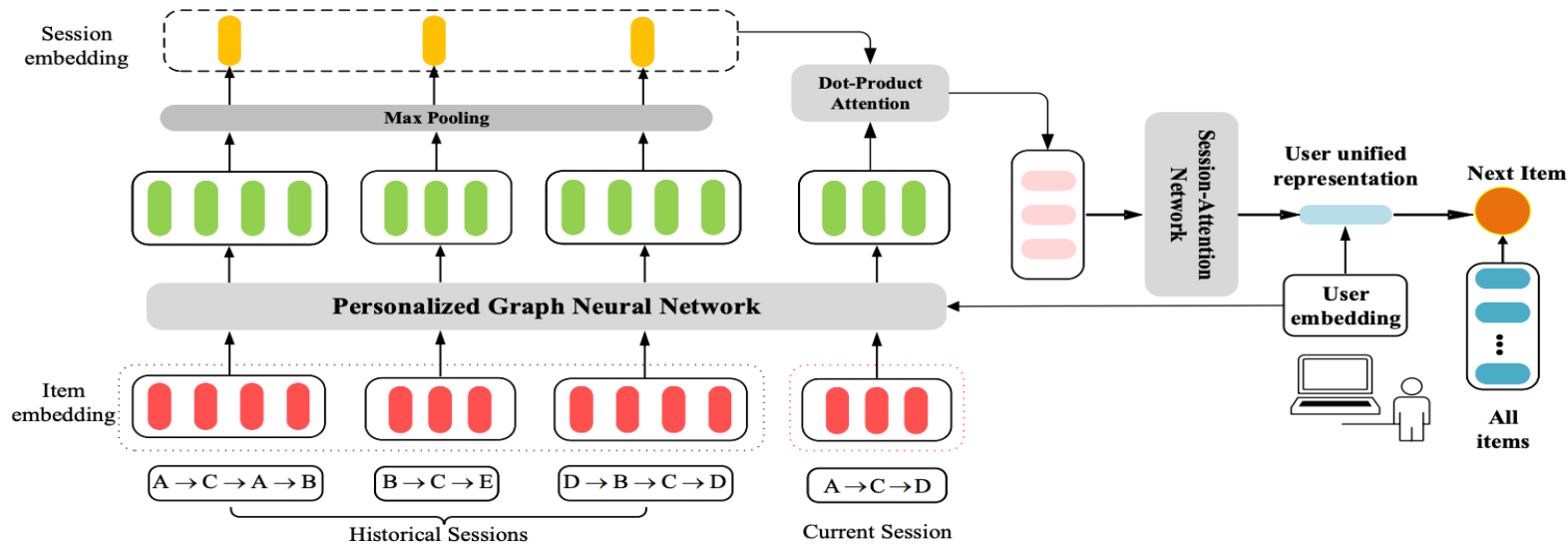
## Session aware recommender systems:

- Look at the current session interactions **along with** historical session interactions and recommend the next item
- In this case, we know the user's identity
  - For example. a user who is logged in and is browsing items on a website
- This approach takes long term user preferences into account
- This is a similar approach to session based
- Clear separation of historical and current sessions to explicitly factor in evolving user interest
- We can visualize a session aware recommender scenario as below:



## The A-PGNN approach [3]:

- The structure of the model is inspired by SR-GNN
- Again, uses graph structure to represent session data
- The GNN layer is personalized with user embedding as input
- Attention layer to capture the dependency between historical sessions and current session
- Session embeddings are enriched with user embeddings and external information to recommend next item



[3] Personalized Graph Neural Networks with Attention Mechanism for Session-Aware Recommendation: <https://arxiv.org/abs/1910.08887>

## Model details:

### SR-GNN

$$\mathbf{a}_{s,i}^t = \mathbf{A}_{s,i} [\mathbf{v}_1^{t-1}, \dots, \mathbf{v}_n^{t-1}]^\top \mathbf{H} + \mathbf{b},$$

$$\mathbf{z}_{s,i}^t = \sigma(\mathbf{W}_z \mathbf{a}_{s,i}^t + \mathbf{U}_z \mathbf{v}_i^{t-1}),$$

$$\mathbf{r}_{s,i}^t = \sigma(\mathbf{W}_r \mathbf{a}_{s,i}^t + \mathbf{U}_r \mathbf{v}_i^{t-1}),$$

$$\tilde{\mathbf{v}}_i^t = \tanh(\mathbf{W}_o \mathbf{a}_{s,i}^t + \mathbf{U}_o (\mathbf{r}_{s,i}^t \odot \mathbf{v}_i^{t-1}))$$

$$\mathbf{v}_i^t = (1 - \mathbf{z}_{s,i}^t) \odot \mathbf{v}_i^{t-1} + \mathbf{z}_{s,i}^t \odot \tilde{\mathbf{v}}_i^t,$$

$$\alpha_i = \mathbf{q}^\top \sigma(\mathbf{W}_1 \mathbf{v}_n + \mathbf{W}_2 \mathbf{v}_i + \mathbf{c}),$$

$$\mathbf{s}_g = \sum_{i=1}^n \alpha_i \mathbf{v}_i,$$

$$\mathbf{s}_h = \mathbf{W}_3 [\mathbf{s}_l; \mathbf{s}_g],$$

$$\hat{\mathbf{z}}_i = \mathbf{s}_h^\top \mathbf{v}_i$$

### A-PGNN

$$\mathbf{a}_{\text{out}_i}^{(t)} = \sum_{v_i \rightarrow v_j} \mathbf{A}_u^{\text{out}}[i, j] [\mathbf{h}_j^{(t-1)} \parallel \mathbf{e}_u] \mathbf{W}_{\text{out}}$$

$$\mathbf{a}_{\text{in}_i}^{(t)} = \sum_{v_j \rightarrow v_i} \mathbf{A}_u^{\text{in}}[i, j] [\mathbf{h}_j^{(t-1)} \parallel \mathbf{e}_u] \mathbf{W}_{\text{in}},$$

$$\mathbf{a}_i^{(t)} = \mathbf{a}_{\text{out}_i}^{(t)} \parallel \mathbf{a}_{\text{in}_i}^{(t)},$$

$$\mathbf{z}_i^{(t)} = \sigma(\mathbf{W}_z \mathbf{a}_i^{(t)} + \mathbf{U}_z \mathbf{h}_i^{(t-1)}),$$

$$\mathbf{r}_i^{(t)} = \sigma(\mathbf{W}_r \mathbf{a}_i^{(t)} + \mathbf{U}_r \mathbf{h}_i^{(t-1)}),$$

$$\widetilde{\mathbf{h}}_i^{(t)} = \tanh(\mathbf{W}_o \mathbf{a}_i^{(t)} + \mathbf{U}_o (\mathbf{r}_i^{(t)} \odot \mathbf{h}_i^{(t-1)})),$$

$$\mathbf{h}_i^{(t)} = (1 - \mathbf{z}_i^{(t)}) \odot \mathbf{h}_i^{(t-1)} + \mathbf{z}_i^{(t)} \odot \widetilde{\mathbf{h}}_i^{(t)},$$

$$\mathbf{H}_h = \text{Attention}(\mathbf{Q}^u, \mathbf{K}^u, \mathbf{V}^u) \quad \mathbf{H}^{u'} = \mathbf{H}_h + \mathbf{H}^u$$

$$\mathbf{z}_g = \sum_{i=1}^m \alpha_i \mathbf{h}_i',$$

$$\mathbf{z}_u = \mathbf{B}[\mathbf{z}_d \parallel \mathbf{e}_u], \quad \text{where,} \quad \mathbf{z}_d = \mathbf{z}_g \parallel \mathbf{z}_l.$$

$$\hat{\mathbf{z}}_i = \mathbf{z}_u^\top \mathbf{e}_{v_i},$$

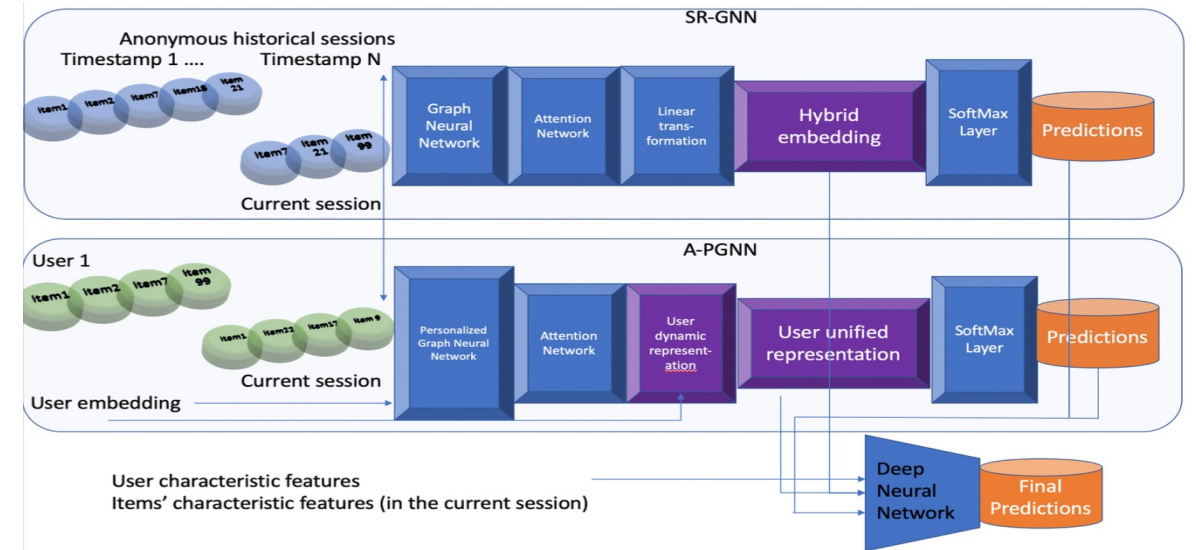
$$\hat{\mathbf{y}} = \text{softmax}(\hat{\mathbf{z}}),$$

- A-PGNN adds user embedding to the current representation of node.
- GRU to include information from other nodes with hidden states of the previous timestamp.
- SR-GNN: soft attention mechanism; Final hybrid embedding from linear transformation over the concatenation of local and global embeddings.
- A-PGNN: transformer's attention mechanism; Final unified representation through a linear transformation.

# Our methodology:

Can we combine session based and session aware approaches together?

- Extract session embeddings from both SR-GNN and A-PGNN
- SR-GNN embeddings are without user information
- A-PGNN embeddings are enriched with user information
- Combine embeddings based for overlapping time windows
- Include external information about users and items
- Combine external information with session embeddings
- Dense layers consume this enriched feature set to recommend the final item



**Figure 4: SRA-NN-Rec model architecture**

## Experimental setup:

- Experiments on two publicly available datasets: Xing and Reddit
- Only sessions with at least 5 interactions are considered
- The interactions within each session are sorted by timestamp
- Once we have the sorted interactions, we use a predefined window to create sub sessions
- We use an 80:10:10 split to divide the transformed sessionized data into train, dev and test sets for model tuning

Attribute	Xing	Reddit
# of users	11,479	18,271
# of items	59,121	27,452
Window length	30 mins	60 mins
Total sessions	91,683	1,135,488



## Results:

- Metric used to evaluate model performance: **Recall@K**

$$R = (\# \text{ of top } k \text{ recommendations that are relevant}) / (\# \text{ of all relevant items})$$

- K values used: **5, 10, 20**
- The values for the SRA-NN-Rec model are the average values after 5 runs

**Table 1: Recall@K on Xing dataset**

Model	Recall@5	Recall@10	Recall@20
Pop	0.21	0.26	0.58
Item-KNN	8.79	11.85	14.67
ALS	8.48	9.68	9.68
NCF-MF	9.25	10.67	11.33
NGCF	10.11	12.56	14.79
FPMC	1.70	2.42	3.27
SKNN	14.36	19.42	24.12
VSKNN	14.46	<b>19.60</b>	24.25
GRU4Rec	10.35	13.15	15.30
SR-GNN	3.38	16.71	19.25
H-RNN	10.74	14.36	17.64
HierTCN	13.57	16.55	19.93
A-PGNN	14.38	17.06	19.98
SRA-NN-Rec	<b>14.66</b>	19.07	<b>24.76</b>

**Table 2: Recall@K on Reddit dataset**

Model	Recall@5	Recall@10	Recall@20
Pop	13.22	19.46	26.47
Item-KNN	21.71	30.32	38.85
ALS	10.36	13.18	15.00
NCF-MF	9.99	13.17	15.25
NGCF	27.55	35.01	39.43
FPMC	29.91	34.31	44.32
SKNN	34.29	42.17	49.68
VSKNN	34.25	42.17	49.67
GRU4Rec	33.72	41.73	50.04
SR-GNN	34.96	42.38	50.33
H-RNN	44.76	53.44	61.80
HierTCN	47.15	55.37	63.96
A-PGNN	<b>49.19</b>	59.43	68.00
SRA-NN-Rec	48.99	<b>61.37</b>	<b>69.77</b>

## Conclusion:

- Temporal information is critical to recommend relevant items to users
- Simple collaborative/content-based filtering approaches have several limitations
- Graph structures are powerful way to represent sequential data
- We explore a Graph based approach to model a recommender system
- Experiments on two publicly available datasets showcases the similar performance of our model

# Thank you!